

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :
Guofang WANG et al. : **Mail Stop: PCT**
Serial No. 10/593,462 : Attorney Docket No. 2006_1421A
Filed September 19, 2006 :
ORGANIC
ELECTROLUMINESCENT DEVICE :
[Corresponding to PCT/JP2005/004495
Filed March 15, 2005]

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application
PCT/_____; and/or
- ☐ each reference previously cited in prior parent application Serial No.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the
above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

the certification of paragraph 2 below is provided, and

the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.


3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
 - b. ☐ a foreign patent office search report (in the English language) submitted herewith,
 - c. ☒ the concise explanation contained in the specification of the present application at pages 1-3,
 - d. ☒ the concise explanation set forth in the attached English language abstract,
 - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☐ A foreign patent office search report citing one or more of the references is enclosed.
6. ☒ Comments:

The US '006 reference cited on the attached PTO-1449 form corresponds to the JP '378 reference cited on this form. Similarly, the US '247 reference corresponds to the JP '588 reference; the US '199 reference corresponds to the WO '729 reference; and the US '115 reference corresponds to the JP '776 reference.

Respectfully submitted,

Guofang WANG et al.

By:


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December 18, 2006

INFORMATION DISCLOSURE STATEMENT

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: December 18, 2006

ATTY DOCKET NO.
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10/593,462APPLICANT
Guofang WANG et al.FILING DATE
September 19, 2006

GROUP

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,150,006	9/1992	Van Slyke et al.			
	AB	5,972,247	10/1999	Shi et al.			
	AC	6,534,199	3/2003	Hosokawa et al.			
	AD	6,465,115	10/2002	Shi et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AE	2-247278	10/1990	Japan				
	AF	6-336586	12/1994	Japan				
	AG	5-198378	8/1993	Japan				
	AH	6-240248	8/1994	Japan				
	AI	11-3782	1/1999	Japan				
	AJ	11-312588	11/1999	Japan				
	AK	11-323323	11/1999	Japan				
	AL	11-329732	11/1999	Japan				
	AM	8-12600	1/1996	Japan				
	AN	11-111458	4/1999	Japan				
	AO	2000-344691	12/2000	Japan				
	AP	2002-154993	5/2002	Japan				

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	Chihaya Adachi et al., "Blue light-emitting organic electroluminescent devices", Appl. Phys. Lett., 56 (9), pages 799-801, February 26, 1990.
	AR	Jianmin Shi et al., "Anthracene derivatives for stable blue-emitting organic electroluminescence devices", Applied Physics Letters, Volume 80, Number 17, pages 3201-3203, April 29, 2002.

EXAMINER

DATE CONSIDERED

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	BE						
	BF						
	BG						
	BH						
	BI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	BJ	01/21729	3/2001	WO				
	BK	2000-182776	6/2000	Japan				
	BL							
	BM							
	BN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	BO	
	BP	
	BQ	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.